## AP3 Rec'd PCT/PTO 1 7 MAY 2000

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER Substitute for Form PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES 1004501-000844 eg 27 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/CH2004/000691 November 16, 2004 November 17, 2003 TITLE OF INVENTION NEW IGBT CATHODE DESIGN WITH IMPROVED SAFE OPERATING AREA CAPABILITY APPLICANT(S) FOR DO/EO/US RAHIMO, Munaf and LINDER, Stefan Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission to items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (22) indicated below. 3. **X** 4. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. In have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 21 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A FIRST preliminary amendment. 14. A SECOND or SUBSEQUENT preliminary amendment. 15. A substitute specification. 16. A change of power of attorney and/or address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 C.F.R. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: General Authorization for Petitions for Extensions of Time and Payment of Fees, Application Data Sheet, PCT/ISA/210, PCT/ISA/220, European Search Report, PCT/RO/101, PCT/RO/105, PCT/IB/301 PCT/IB/332, PCT/IPEA/409 and PCT/IPEA/416

IAP9 Rec'd PCT/PTO 17 MAY 2006

U.S. APPLICATION NO. (If known, see 37 CFR 1 51) INTERNATIONAL APPLICATION NO. ATTOR						ATTORN	NEY'S DOCKET NUMBER		
	S. APPLICATION NO. (If known, see 37 CFR 1 51) INTERNATIONAL APPLICATION NO. ATTO PCT/CH2004/000691						1004501-000844		
21.		Applicant(s) requests that the published application include the following assignment					CALCULATIONS	PTO USE ONLY	
		information: ABB Technology AG, Zürich, Switzerland							
i									
22.	×	The following fees are submitted:							
	Basic Filing Fee (1631)					\$ 300.00			
	Surcharge of \$130.00 (1617) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).								
	mon	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<u> </u>	\$		
	Total	Claims	5 -20	= 0	× \$50.00 (1	1615)	\$ 0.00		
	Inde	pendent Claims	1 - 3	= 0	× \$200.00	(1614)	\$ 0.00		
	MUL	TIPLE DEPENDENT CL	AIM(S) (if applicable)		+ \$360.00	(1616)			
	Exan	Examination Fee + \$20				(1633)	\$ 200.00		
	Sear	Search Fee		+ \$400.00 (1632)		\$ 400.00			
	App.	App. Size Fee (add \$250.00 for each add'l 50 sheets exceeding 100 sheets)						-	
	TOTAL OF ABOVE CALCU				E CALCULAT	rions =	\$ 900.00		
		Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$ 0.00		
İ	SUBTOTAL =					\$ 900.00			
	Processing fee of \$130.00 (1618) for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$ 0.00			
	TOTAL NATIONAL FEE =					\$ 900.00			
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (8021) per property +					\$ 40.00			
				TOTAL	FEES ENCL	OSED =			
	_						Amount to be refunded :		
	a. A check in the amount of to cover the above fees is enclosed.					charged :			
	b. Please charge my Deposit Account No. <u>02-4800</u> in the amount of duplicate copy of this sheet is enclosed.						_ to cover the above fees. A		
<ul> <li>c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any Deposit Account No. <u>02-4800</u>. A duplicate copy of this sheet is enclosed.</li> <li>d.  Charge \$940.00 to credit card. Form PTO-2038 is attached.</li> </ul>							ed, or credit any ov	erpayment to	
		NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a pet or (b)) must be filed and granted to restore the application to pending status.					tition to revive (37	CFR 1.137(a)	
	051						-17		
	Buchanan Ingersoll PC Including attorneys from Burns, Doane, Swecker & Mathis								
		P.O. Box 1404						Batrick C Koopa	
		Alexandria, Virginia 22313-1404					Patrick C. Keane		
					3	2,858	Mos	17, 2006	
	 F				REGISTE			DATE	